

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: YU, Wen

Appln. No.:

Filed: Herewith

For: HEADER FOR HEAT  
EXCHANGER

Attorney Docket No: 10541-1850

Examiner:

Art Unit:

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

No.	Date of Publication	Patentee/Applicant/Assignee
3,689,972	09/12/1972	Mosier et al
4,615,385	10/07/1986	Saperstein et al
5,067,235	11/26/1991	Kato et al
5,152,339	10/06/1992	Calleson
5,743,122	04/28/1998	Rhodes et al
5,890,288	04/06/1999	Rhodes et al
5,901,443	05/11/1999	Rhodes et al
5,931,223	08/03/1999	Yu et al
5,934,365	08/10/1999	Rhodes et al
6,119,769	09/19/2000	Yu et al
6,360,560 B1	03/26/2002	Whitlow et al
6,540,016 B1	04/01/2003	Baldantoni

Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

BRINKS  
HOFER  
GILSON  
& LIONE

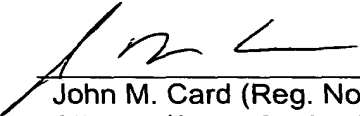
BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Aug. 19, 2003  
Date

  
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John M. Card (Reg. No. 48,423)  
Attorney/Agent for Applicant

Enclosures: Form PTO-1449 (one sheet)

FORM PTO-1449	APPLICATION NO.	CASE NO. 10541-1850
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE Herewith	ART UNIT
APPLICANT(S): YU, Wen Fei		

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
		3,689,972	09/12/1972	Mosier et al		
		4,615,385	10/07/1986	Saperstein et al		
		5,067,235	11/26/1991	Kato et al		
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		6,360,560 B1	03/26/2002	Whitlow et al		
		6,540,016 B1	04/01/2003	Baldantoni		

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)					

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.